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# UTILITY PATENT APPLICATION TRANSMITTAL

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
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1.  Fee Transmittal Form
2.  Specification, Claims & Abstract ..... [ Total Pages: 47 ]
3.  Drawing(s) (35 USC 113) ..... [ Total Sheets: 14 ]
4.  Oath or Declaration ..... [ Total Pages: 4 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

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## ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & document(s))
9.  37 CFR 3.73(b) Statement (*when there is an assignee*)  Power of Attorney
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement (IDS)/PTO-1449[ ] Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
14.  Small Entity Statement(s)  Statement filed in prior application, status still proper and desired.
15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
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## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

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APPLICATION FOR  
UNITED STATES LETTERS PATENT

SPECIFICATION

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Title of the Invention: ELECTRONIC COMMERCE SYSTEM AND A  
COMPUTER-READABLE STORAGE MEDIUM  
THEREOF

**ELECTRONIC COMMERCE SYSTEM AND A COMPUTER-READABLE  
STORAGE MEDIUM THEREOF**

**Background of the Invention**

5      **Field of the Invention**

The present invention relates to an electronic commerce system which conducts electronic commerce via a network.

10     **Description of the Related Art**

Conventionally, goods were sold to a purchaser that offers the highest price in a business transaction such as an auction or a competitive bidding process.

15     Additionally, for stock trading, there was a system which notifies a person who conducts a trade of selling or purchasing timing obtained by analyzing the status of a stock market, or provides the information required for the stock purchase and sale.

20     In recent years, EDI with which information are electronically exchanged with a particularly preset partner, or a system which preregisters a commodity desired to be purchased to a virtual store on the Internet, and automatically places a purchase order 25 to the store at the timing when the stock of this

commodity is put on sale or the commodity newly arrives at the store, has been popularized.

Since a transaction partner is determined only according to a price in the conventional business transaction system such as a bidding process, an auction, etc., not a few cases exist where a commodity cannot be purchased or sold at a desired price, compared with the case of a normal business transaction.

10

#### **Summary of the Invention**

An object of the present invention is to provide an electronic commerce system with which a commodity is purchased or sold at a most desirable price or under a most desirable condition.

The electronic commerce system according to the present invention, which aids in the purchase and the sale of commodities, comprises a registered commodity data storing unit storing desired sale or purchase prices of commodities and the information about a change condition or a change price of the desired sale or purchase price, and a price changing unit changing a price if the information about the change condition or the change price registered to the registered commodity data storing unit is satisfied.

This system further comprises a unit setting a condition under which a price is changed according to the elapse of time or the sale and the purchase states of other companies, or a unit which preregisters private commodity information.

**Brief Description of the Drawings**

Fig. 1 is a block diagram showing the configuration of an electronic commerce system according to the present invention;

Fig. 2 exemplifies commodity data on a selling side;

Fig. 3 exemplifies conditional data on the selling side;

Fig. 4 exemplifies commodity data on a purchasing side;

Fig. 5 exemplifies conditional data on the purchasing side;

Fig. 6 exemplifies user data;

Fig. 7 exemplifies registered commodity data;

Fig. 8 exemplifies purchase and sale data;

Fig. 9 exemplifies the output image of a transaction target list;

Fig. 10 is a flowchart showing a transaction process;

Fig. 11 is a flowchart showing a conditional change process according to time;

Fig. 12 is a flowchart showing the process for making a tentatively registered commodity public;

5 Fig. 13 is a block diagram showing the configuration of an information processing device used to configure a center, the purchasing side, and the selling side in the electronic commerce system according to the present invention; and

10 Fig. 14 is a schematic diagram explaining the method providing software programs, etc. according to the present invention.

#### Description of the Preferred Embodiments

15 The present invention is hereinafter explained in detail by referring to the drawings.

Fig. 1 is a block diagram showing the configuration of an electronic commerce system according to the present invention. This system is 20 configured by a center 10, a purchasing side 20, and a selling side 30. Data can be exchanged between the center 10 and the purchasing side 20, and between the center 10 and the selling side 30 via communications lines, etc. Additionally, a plurality of purchasing 25 sides 20 or selling sides 30 may exist.

The center 10, which intermediates and manages a purchase and a sale, comprises a user information managing unit 11 which registers and manages the information about companies making transactions, user data 101 being the data thereof, a commodity information managing unit 12 which registers and manages the information of commodities registered as the targets transacted by the respective companies, and registered commodity data 102 being the data thereof. This center 10 manages the information of a user, a company, and a commodity involving in the purchase and the sale. Additionally, the center 10 further comprises a purchase and sale information managing unit 13 which manages the transaction state of a purchase and a sale, and purchase and sale data 103 being the data thereof, and manages the state of transactions made by companies. Furthermore, the center 10 further comprises a searching unit which searches for each data.

The purchasing side 20 and the selling side 30 respectively comprise registering unit 21 and 31 which register and modify a transaction target commodity or a transaction condition for each commodity, commodity data 201 and 301 and conditional data 202 and 302, which are registered by the

registering units 21 and 31. With these units and data, commodity information and transaction condition information for each commodity can be registered and managed.

5        Additionally, the purchasing side 20 and the selling side 30 respectively comprise purchase and sale state judging units 22 and 32 which judge a transaction state, and correspond to the state conditions of their own companies. The purchasing  
10      side 20 and the selling side 30 suitably change the transaction conditions of their own companies so that the transaction condition of one company matches that of the other, or change the commodity information based on a preset condition. If the transaction  
15      conditions of both of the purchasing and selling sides 20 and 30 match, the purchase and sale state judging units 22 and 32 judge that the corresponding transaction is concluded, transmit order placing information to the center 10, and receive order  
20      receipt information from the center 10 by using ordering units 23 and 33.

25      Details of the present information are hereinafter explained from the viewpoint of the case where a purchasing side makes a purchase request to a transaction site.

Fig. 2 exemplifies the commodity data 301 stored by the selling side 30 of commodities. The commodity data 301 include a company code for uniquely identifying a company, a commodity code for uniquely identifying a commodity, a purchase/sale flag indicating either sale or a purchase, a desired sale price and an allowable price range for each commodity, a desired sale amount and an allowable amount range, a public flag indicating either public data or tentatively registered data, a registration date and time, and a transaction condition code indicating a transaction condition for each commodity, etc.

For instance, in the data example shown in Fig. 2, this company has a company code A123, and intends to sell 200 kilograms of Sasanishiki produced in 1997 having a commodity code SN97 at a desired sale price of 1,000 yen/kg as one of the commodities. Its sale is allowed on the condition that the sale price and amount are respectively in the ranges from 900 to 1,000 yen and from 100 to 2,000 kilograms. Additionally, since the public flag is ON, the commodity information may be made public to other companies.

Fig. 3 exemplifies the conditional data 302

stored by the selling side 30 of commodities. The conditional data 302 include a transaction condition code for uniquely identifying a transaction condition, a transaction amount, a transaction combination with another or other commodities, a delivery, a payment method, a time from data registration in correspondence with a transaction condition code set in the commodity data 301 shown in Fig. 2.

For instance, in the data example shown in Fig. 2, 001 is designated as a transaction condition for Sasanishiki produced in 1997 having the commodity code SN97. If the corresponding transaction condition 001 is referenced in the conditional data shown in Fig. 3, the condition "price>=800", namely, that the lower price limit is 800 yen for ">=1,600", namely, a transaction of 1,600 kilograms or more is set as a transaction amount condition, the condition that the lower price limit is 795 yen if the commodity having a commodity code KH96 is together sold is set as a combination condition, and the condition that 3 or more days are required for the delivery are set.

Similarly, the transaction condition 002 is designated for the commodity having the commodity code KH96 shown in Fig. 2. If the corresponding

condition 002 is referenced in the conditional transaction data shown in Fig. 3, no conditions are set for the transaction amount, the combination with a different commodity, and the delivery. However, the  
5 condition that the lower price limit is decreased to 780 yen if a payment is made in cash, and the condition that the price is discounted by five yen each time "+7", namely, 7 days elapse from the data registration is set as a time condition. By way of  
10 example, for the commodity having the commodity code KH96, its desired price is discounted from 980 to 975 yen by five yen, if it is passed one week from the registered day, July 15.

Accordingly, once the commodity information is  
15 registered at the beginning, the sale price can be automatically changed in accordance with set conditions such as a transaction amount, a combination of a transaction target commodity with a different commodity, a delivery, a payment method, a  
20 time elapse, the state of a different company, etc.

Fig. 4 exemplifies the commodity data 201 stored by the purchasing side 20 of commodities. The commodity data 201 have a structure similar to that of the commodity data on the selling side, which is  
25 shown in Fig. 2.

Fig. 5 exemplifies the conditional data 202 stored by the purchasing side 20 of commodities. The conditional data 202 have a structure similar to that of the conditional data on the selling side, which is shown in Fig. 3.

For instance, in the data example shown in Fig. 4, this company has a company code B789, and intends to purchase 500 kilograms of Sasanishiki produced in 1997 having the commodity code SN97 at a desired purchase price 800 yen/kg as one of the commodities. Its purchase is allowed on the condition that the purchase price and amount are respectively in the ranges from 750 to 850 yen and from 500 to 1,500 kilograms. Furthermore, this company intends to purchase 200 kilograms of Koshihikari produced in 1996 having the commodity code KH96 at a desired purchase price 800 yen/kg, and its sale is allowed on the condition that the purchase price and amount are respectively in the ranges from 750 to 800 yen and from 200 to 250 kilograms. Additionally, since the public flag is OFF, the commodity information are tentatively registered data, which are normally not made public to other companies.

Additionally, in the example shown in Fig. 4, 001 is designated as a transaction condition for

Sasanishiki produced in 1997 having the commodity code SN97. If the corresponding transaction condition 001 is referenced in the conditional data shown in Fig. 5, the condition that the lower price limit of 5 this Sasanishiki is decreased to 600 yen if a transaction of 1,000 kilograms or more is made, and the condition that the upper price limit is increased to 890 yen if its delivery is within 7 days. Similarly, for Koshihikari produced in 1996 having 10 the commodity code KH96, the transaction condition 002 is designated. If the corresponding transaction condition 002 is referenced in the conditional data 202 shown in Fig. 5, the condition that two or more companies sell this commodity is set as a condition 15 that the public flag is set to ON, namely, the commodity information are made public to other companies. Also for Akitakomachi produced in 1998 having a commodity code AK98, a transaction condition 003 is designated. If the corresponding condition 003 20 is referenced in the conditional data shown in Fig. 5, the condition that the upper price limit is increased to 1,100 yen if a delivery is within 3 days, and the condition that the public flag is switched to ON and after September 1, 1998 are set 25 for this Akitakomachi.

Accordingly, once the commodity information is registered, commodity information which are normally desired to be confidential can be made public or available at suitable timing in the case where a  
5 transaction with a different company is desired to be confidential, or in the case where a commodity is desired to be used as an alternative when a transaction is conducted.

Fig. 6 exemplifies the user data 101 managed by the center 10. The user data 101 include a company code for uniquely identifying a company, a company name, credit information, a current use state, etc. By way of example, in the data example shown in Fig. 6, AA & Co. having a company code A123, BB Co. having  
10 a company code B789, and CC Wholesaler having a company code C596 are registered to the center 10 as companies which make transactions. Additionally, the information that the purchase limit per month is 10,000,000 yen and a purchase of 1,500,000 yen has  
15 been made up to this time point are set for AA & Co., the information that the maximum number of transactions per day is 20 and 6 transactions have been made up to this time point today are registered for BB Co., and the information that the purchase  
20 limit is up to 5,000,000 yen per day and a purchase  
25

of 4,000,000 yen has been made up to this time point  
are registered for CC Wholesaler.

Fig. 7 exemplifies the registered commodity data  
102 managed by the center 10. In the registered  
5 commodity data 102, a company code, a commodity  
code., a commodity name, information indicating  
either a sale or a purchase, information about a  
transaction price and mount, etc. are registered.  
When the commodity data 201 and the conditional data  
10 202 on the purchasing side 20 and the commodity data  
301 and the conditional data 302 on the selling side  
30 are uploaded to the center 10, they are stored and  
managed by the center 10. Therefore, if a company  
which desires to make a transaction can reference the  
15 commodity information registered by other companies  
by searching the registered commodity data.

For instance, in the data example shown in Fig.  
7, commodities which are currently registered to the  
center 10 and are transaction targets are managed,  
20 including the registered commodity data of the  
company A123 shown in Figs. 2 and 3, and the  
registered commodity data of the company B789 shown  
in Figs. 4 and 5. When the purchasing side 20  
purchases a commodity, it is possible to reference  
25 what commodities other companies desire to sell under

what conditions by searching the registered commodity data 102 for a commodity with the information indicating a purchase.

Fig. 8 exemplifies the purchase and sale data  
5 103 managed by the center 10. The purchase and sale data 103 include a date on which a transaction is concluded, information about companies which make a transaction, information about a commodity to be transacted, etc. For instance, in the data example  
10 shown in Fig. 8, the information that the company having the code B789 purchased 500 kilograms of the commodity having the code SN96 at 970 yen/kg from the company having the code A123 on August 1, 1998, and similarly, the information that a company having the  
15 code X555 purchased 500 kilograms of the commodity having the code HB97 at 980 yen/per kg from the company having the code A123 on the same date are registered.

Fig. 9 exemplifies a screen displaying the  
20 result of the search for the registered commodity data 102 shown in Fig. 7, which is made by the purchasing side 20 makes with the searching unit 14 in the center 10. The information about commodities which can possibly become transaction targets are  
25 listed and displayed, whether or not transaction

conditions are set is distinguishably displayed, and transaction conditions for each of the commodities are displayed in detail, so that it becomes possible to easily obtain a target that can be transacted.

5 Next, the present invention is described in detail according to process flows.

Fig. 10 is a flowchart showing a transaction process. Here, explanation is provided by taking as an example the case where the purchasing side 20  
10 makes a purchase request.

First of all, in step ST1, the purchasing side 20 searches the registered commodity data 102 shown in Fig. 7 that different companies preregister to a server for a corresponding commodity by using a  
15 commodity type or the distinction between a purchase and a sale as keys, and obtains the search result shown in Fig. 9.

In step ST2, the purchasing side 20 compares the commodity data 201 that its own company desires to  
20 purchase with the search result obtained in step ST1, and searches for a matching commodity. For example, if the commodity data 201 shown in Fig. 4 exist, the purchasing side 20 compares the commodity data 201 with the search result shown in Fig. 9 by using the  
25 commodity code as a key. Consequently, Sasanishiki

produced in 1997 having the commodity code SN97, which can be transacted by its own company, is proved to be put on sale.

If the corresponding commodity does not exist,  
5 there is no commodity which can be transacted. Therefore, the transaction request of the commodity is registered to the registered commodity data 102 in the center 10, and the process is terminated. The transaction request registered to the registered  
10 commodity data 102 will be a search target when a request of a reverse transaction (sale in this case) is made.

If the matching commodity exists, its desired transaction price and amount are compared with the  
15 search result in step ST3. If the price and amount match the search result, the transaction is recognized to be concluded and an order is placed by the ordering unit 23 in step ST5. If the price and amount mismatch, whether or not both of the selling  
20 and the purchasing sides set the conditional data for the transaction target commodity is judged by the purchase and sale state judging unit 22 in step ST4. If the transaction conditions are set, their contents are compared. Furthermore, in step ST4, the  
25 transaction conditions are changed so that the

conditions of both of the companies (the selling and the purchasing sides) match.

For example, based on the information that Sasanishiki produced in 1997 having the commodity code SN97 is put on sale, which is obtained in step ST2, its transaction price or amount are compared in step ST3. The desired transaction on the purchasing side 20 is 500 kilograms at the unit price of 800 yen/kg, the allowable price range is from 750 to 850 yen, and the allowable amount range is from 500 to 1,500 kilograms. In the meantime, the desired transaction (on the selling side 30), which is registered to the registered commodity data 102, is 200 kilograms at the unit price of 1,000 yen/kg, the price range is from 900 to 1,000 yen, and the amount range is from 100 to 2,000 kilograms. Therefore, the desired transactions of both of the companies are proved to mismatch in terms of the price condition. Since the transaction conditions of both of the companies do not match in step ST3, the process goes to step ST4 where attempts are made to change the transaction conditions.

Since the purchase and sale state judging unit 22 can learn from the information obtained in step ST2 that the transaction conditions are set for the

commodity to be transacted, it references the information indicating what transaction conditions are set for the corresponding commodity. By way of example, for the commodity SN97 of the company A123,  
5 which is a transaction target, the transaction conditions can be known as follows: the amount condition that the sale price is decreased to 800 yen/kg if 1,600 kilograms or more are purchased; the combination condition with a different commodity that  
10 the sale price is decreased to 795 yen/kg if also the commodity KH96, which is sold by the same company, is together purchased; and the delivery condition that a delivery requires 3 or more days. The purchase and sale state judging unit 22 reviews these transaction  
15 conditions one by one. Because the amount condition of its own company, namely, the purchasing side for the transaction target commodity SN97 is from 500 to 1,500 kilograms, this condition is proved not to be satisfied.

20 For the combination condition, it has been proved that the commodity KH96 is included in the commodity data 201 of its own company as a private commodity, Therefore, this commodity is judged to be a candidate having conditions that can be transacted.  
25 For the delivery condition among the transaction

conditions of the transaction target commodity SN97 of its own company (selling side), it has been proved that the upper purchase price limit may be increased to 890 yen if a delivery is within 7 days. However,  
5 since the desired sale price on the selling side is from 900 to 1,000 yen, this condition cannot be satisfied. Accordingly, the combination condition is continued to be reviewed.

The transaction information about the commodity  
10 KH96, which is set in the combination condition, is referenced from the search result obtained in step ST1. As a result, it is proved that the desired sale condition for the commodity KH96 being put on sale by the company A123 is 500 kilograms at the unit price  
15 of 980 yen/kg, Its sale price can be changed between 800 and 980 yen, and its sale amount can be changed between 200 and 500 kilograms. Comparing this information with its own company information about KH96 registered to the commodity data 201 shown in  
20 Fig. 4, it is proved that a transaction of 200 kilograms at the unit price of 800 yen/kg can be concluded. Accordingly, the combination condition being reviewed is satisfied. As a result, the transaction of purchasing 500 kilograms of the  
25 commodity SN97 of the company A123 at the unit price

of 795 yen/kg, and 200 kilograms of the commodity KH96 at the unit price of 800 yen/kg can be satisfied.

Next, the process goes to step ST5 where the  
5 ordering unit 23 transmits the transaction conclusion information obtained in step ST4 to the center 10.

When the center 10 receives the transaction conclusion information, the user information managing unit 11 is started up in step ST6 and the credit information about both of the companies which make the transaction are examined. By way of example, for the transaction described earlier, the user information managing unit 11 references the user data 101 shown in Fig. 6, and it can be known that the  
10 credit information of "a purchase of up to 10,000,000 yen per month" is set for the company A123 being the selling side, and the credit information that "the number of transactions is up to 20 per day" is set for the company B789. Even if the total amount  
15 557,500 yen of 795 yen x 500 kg and 800 yen x 200 kg is added for the company A123, and the number of transactions 2 is incremented for the company B789 that makes two transaction types regarding the information about the transaction states of the  
20 respective companies up to this time point, namely,  
25

the information that a purchase of 1,500,000 yen has been made, and the information that 6 transactions have been conducted, the respectively set credit information are not exceeded. Therefore, the  
5 transaction at this time is proved to be within the credit range. Then, the user information managing unit 11 adds the contents of the transaction at this time, and updates the contents of the user data 101.

Upon receipt of the information within the  
10 credit range from the user information managing unit 11, the center 10 notifies the purchasing side 20 being a transaction source and the selling side 30 being a transaction destination of the information that the transaction conclusion information, and  
15 writes this information to the purchase and sale data 103 shown in Fig. 8 with the purchase and sale information managing unit 13 in step ST7.

If the transaction is judged to exceed the credit limit in step ST6, the user data 103 is not  
20 updated and the process goes to step ST8 where the center 10 notifies the purchasing side 20 being the transmission source of transaction conclusion data that the transaction cannot be concluded due to the exceeding of the credit limit, and does not conclude  
25 the transaction.

Next, an automatic condition changing process according to a time is explained by referring to Fig. 11. The automatic condition changing process is a process which automatically changes a transaction condition of a commodity which is once registered according to a set condition.

First of all, in step ST11, the registering units 21 and 31 reference the commodity data 201 and 301 of their own companies, and extract and store the commodity information where a time condition is set. For example, in the commodity data 201 and the conditional data 202, which are respectively shown in Figs. 4 and 5, there is no data to be changed according to a time. In the meantime, in the commodity data 301 and the conditional data 302, which are shown in Figs. 2 and 3, the information that the unit price per kilogram is decreased by five yen each time a week elapses is set as a time condition for Koshihikari produced in 1996 having the commodity code KH96. Therefore, the registering unit 31 extracts and stores the information about KH96.

In step ST12, the purchase and sale state judging units 22 and 32 measure a current time, and judges whether or not the time condition set in the transaction condition is satisfied. If the time

condition is satisfied, the purchase and sale state judging units 22 and 23 notify the registering units 21 and 31 that the time condition is satisfied.

For example, the purchase and sale state judging unit 32 measures an elapse time from the date and time when KH96 is registered at predetermined time intervals for the commodity information about KH96 obtained in step ST11, and notifies the registering unit 31 that the price change condition is satisfied when 7 days set in the condition elapse.

In step ST13, the registering units 21 and 31 which have received the notification from the purchase and sale state judging units 22 and 32 update the commodity data 201 and 301 of the corresponding commodities based on the information preregistered to the conditional data 202 and 302. For example, to the commodity data 301 and the conditional data 302, which are shown in Figs. 2 and 3, the information that the unit sale price/kg of the commodity KH96 is decreased by five yen each time a week elapses is registered. Therefore, the registering unit 31 updates the price data of the commodity KH6 among the contents of the commodity data 301 shown in Fig. 2 according to this information so that the desired price is 975 yen, the

lower price limit is 795 yen, and the upper price limit is 975 yen.

Then, in step ST14, the registering units 21 and 31 upload the updated commodity information to the center 10. For example, the registering unit 31 has updated the data about the commodity KH96 within the commodity data 301, and the updated data is transmitted to the center 10. The center 10 receives this information, and updates the registered commodity data 102 with the commodity information managing unit 12.

Next, the process for making a tentatively registered commodity public is explained by referring to Fig. 12. This process is a process for making a private commodity, the commodity information of which is only registered, public.

the commodity information of a private commodity the information of which is only registered public.

First of all, in step ST21, the registering units 21 and 31 reference the commodity data 201 and 301 of their own companies, and extract and store the information of a tentatively registered commodity, namely, a commodity with a public flag OFF. For example, in the commodity data 201 and the

conditional data 202, which are shown in Figs. 4 and 5, the public flags of Koshihikari produced in 1996 having the commodity code KH96 and Akitakomachi produced in 1998 having the commodity code AK98 are  
5 set to OFF. Therefore, the registering unit 21 judges that these commodity information are tentatively registered commodities, extracts the data of these commodities from the commodity data 201 and the transaction condition data for these commodities from  
10 the conditional data 202, and stores the extracted data.

Next, in step ST22, the purchase and sale judging units 22 and 32 reference the condition for switching to ON the public flags among the  
15 transaction conditions for the tentatively registered commodities obtained in step ST21, and judges whether or not the public conditions are satisfied at predetermined time intervals. When the public conditions are satisfied, the purchase and sale state  
20 judging units 22 and 32 notify the registering units 21 and 31 of this judgment result. By way of example, the purchase and sale state judging unit 22 references the public condition that "two or more selling companies exist" for the commodity KH96,  
25 searches the registered commodity data 102 registered

to the center 10 with the searching unit 14 within the center 10, and judges whether or not two or more companies put the commodity KH96 on sale. Similarly, the purchase and sale state judging unit 22  
5 references the public condition of "on and after September 1, 1998" also for the commodity AK98, and judges whether or not the public condition is satisfied by making the comparison between the information about the date and time measured in the  
10 system and this date. When any of the public conditions is satisfied, the purchase and sale state judging unit 22 notifies the registering unit 21 to switch and update the public flag of KH96 or AK98 to ON.

15 Upon receipt of the notification of the public flag update from the purchase and sale state judging units 22 and 32, the registering units 21 and 31 update the public flag of the corresponding commodity information to ON. For example, when the registering  
20 unit 21 receives the notification that the public condition in the conditional data 202 for the KH96 is satisfied, the public flag of the commodity KH96 within the commodity data 201 shown in Fig. 4 is updated to ON.

25 After the public flag is updated, the

registering units 21 and 31 upload the information about the updated commodity to the center 10 in step ST24. For example, the registering unit 21 has updated the data of the commodity KH96 within the 5 commodity data 201 from the tentatively registered data to public data,. Therefore, the registering unit 21 transmits the updated data to the center 10. The center 10 updates the registered commodity data 102 with the commodity information managing unit 12 based 10 on the received information.

In the meantime, the center 10, the purchasing side 20, and the selling side 30, which configure the above described electronic commerce system, are respectively implemented by an information processing 15 device (computer) shown in Fig. 13. The information processing device shown in Fig. 13 comprises a CPU (Central Processing Unit) 41, a memory 42, an input device 43, an output device 44, an external storage device 45, a medium driving device 46, and a network connecting device 47, which are interconnected by a 20 bus 48.

The memory 42 includes, for example, a ROM (Read Only Memory), a RAM (Random Access Memory), etc., and stores the programs and data used for processes. 25 The CPU 41 performs necessary processes by executing

the programs with the memory 42.

In this case, the registering units 21 and 31, the purchase and sale state judging units 22 and 32, the ordering units 23 and 33, the user information managing unit 11, the commodity information managing unit 12, the purchase and sale information managing unit 13, and the searching unit 14 correspond to the software components implemented by an instruction set stored in a particular code segment in the memory 42. 5 Additionally, the commodity data 201 and 301, the conditional data 202 and 302, the user data 101, the registered commodity data 102, and the purchase and sale data 103 in Fig. 1 are arranged within the memory 42. 10

15 The input device 43 is, for example, a keyboard, a pointing device, a touch panel, etc., and is used to input the instruction or information from a user. The output device 44 is, for example, a display, a printer, a speaker, etc., and is used to output a 20 message to a user or a process result.

The external storage device is, for example, a magnetic disk device, an optical disk device, a magneto-optical disk, etc. The information processing device stores the above described programs and data 25 in the external storage device 45 and can use the

programs and data by loading them to the memory 42 on demand.

The medium driving device 46 drives a portable storage medium 49 being an arbitrary computer-readable storage medium such as a memory card, a floppy disk, a CD-ROM (Compact Disk-Read Only Memory), an optical disk, magneto-optical disk, etc. The above described programs and data are stored onto this portable storage medium 49, and can be used by being loaded to the memory depending on need.

The network connecting device 47 communicates with an external device via an arbitrary network such as a wireless line, etc., and performs data conversion accompanying communications. The information processing device receives the above described programs and data from the external device via the network connecting device 47, and can use the programs and data by loading them to the memory 42.

The above described processes of this system are implemented by software programs. Fig. 14 is a schematic diagram explaining the method providing the software programs, etc. executed by the information processing device according to the present invention. The programs, etc. are provided, for example, by an arbitrary one of the following methods.

- (a) Installed in an information processing device 51 such as a computer, etc., and provided. In this case, the programs, etc. are preinstalled, for example, prior to shipment.
- 5       (b) Stored in a portable storage medium 52, and provided. In this case, the programs, etc. stored onto the portable storage medium 52 are installed in an external storage device of the information processing device 51 such as a computer, etc.
- 10      (c) Provided from a server 54 on a network 53. In this case, the information processing device 51 such as a computer, etc. fundamentally obtains the programs, etc. stored in the server 54 by downloading them.
- 15           The present invention was explained in detail by referring to the preferred embodiment shown in the drawings. However, the present invention is not limited to this implementation. For example, the preferred embodiment refers only to the example of an application to the purchase and sale transaction system. However, the application of the present invention is not limited to the purchase and sale transaction system.
- 20           As described above, according to the present invention, the condition for changing a transaction

price can be set the same time the transaction price and a transaction amount are set for a transaction target. Accordingly, transaction conditions on a selling and a purchasing side can be suitably changed  
5 to make the conditions match in accordance with the transaction condition presented by a partner intending to conduct a transaction, whereby a commodity can be purchased or sold at a more desirable price or under a more desirable condition.

10        Additionally, the condition for changing a price according to the elapse of time or the purchase and the sale states of other companies can be set. Therefore,

15        a strategic price setting according to a time or the purchase and the sale states of other companies can be automatically made only by setting the information about a commodity to be transacted at the beginning.

20        Furthermore, also private commodity information can be preregistered. Therefore, even a commodity desired to be transacted and desired not to be known to other companies, or a commodity desired to be made public according to the transaction states of other companies can be handled similar to a commodity which is made public from the beginning.

**What is claimed is:**

1. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:
  - 5 a registered commodity data storing unit storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and
  - 10 a unit changing a price if the information about the change condition or the change price, which is registered to said registered commodity data storing unit, is satisfied.
- 15 2. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:
  - 20 a registered commodity data storing unit storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and
  - 25 a searching unit searching for a desired price or and information about a change condition and a change price of the desired price of a transaction partner that registers a sale of a designated commodity in response to a purchase request designating the commodity, and for a desired price

and information about a change condition and a change price of the desired price of a transaction partner that registers a purchase of a designated commodity in response to a sale request designating the  
5 commodity, in registered commodity information stored in said registered commodity data storing unit.

3. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:

- 10 a registered commodity data storing unit storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and
- 15 a searching unit obtaining a registered sale or purchase request corresponding to a sale or purchase request by searching for a desired price and a change condition of the desired price of a transaction partner that registers a sale of a designated commodity in response to the purchase request
- 20 designating a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity, and for a desired price and a change condition of the desired price of a transaction partner that registers a purchase of a designated commodity in response to the sale request designating
- 25

a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity, in registered commodity information stored in said registered commodity data storing unit.

5

4. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:

10 a registered commodity data storing unit storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and

15 a searching unit obtaining a registered sale or purchase request corresponding to a designated desired price by searching for a desired price and a change condition of the desired price of a transaction partner that registers a sale of a designated commodity in response to a purchase request designating a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity, and for a desired price and a change condition of the desired price of a transaction partner that registers a purchase of a designated commodity in response to a sale request designating a desired price of a commodity and a condition which can be satisfied in a transaction of

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the commodity, in registered commodity information stored in said registered commodity data storing unit.

5           5. The electronic commerce system according to  
claim 4, further comprising

10          a purchase and sale information managing unit concluding a transaction of the registered sale or purchase request, which is obtained by said searching unit.

15          6. The electronic commerce system according to  
claim 2, wherein the change condition of the desired price is a purchase or a sale of a different commodity, a purchase or sale amount of a corresponding commodity, a payment method, or a delivery of the commodity.

20          7. The electronic commerce system according to  
claim 3, wherein the change condition of the desired price is a purchase or a sale of a different commodity, a purchase or sale amount of a corresponding commodity, a payment method, or a delivery of the commodity.

8. The electronic commerce system according to  
claim 4, wherein the change condition of the desired  
price is a purchase or a sale of a different  
commodity, a purchase or sale amount of a  
5 corresponding commodity, a payment method, or a  
delivery of the commodity.

9. The electronic commerce system according to  
claim 2, further comprising  
10 a unit displaying a transaction partner that  
sets a transaction condition and a transaction  
partner that does not set a transaction condition by  
making a distinction between the transaction  
partners, when transaction partners searched by said  
15 searching unit are listed and displayed.

10. An electronic commerce system aiding in a  
purchase and a sale of a commodity, comprising:  
a registered commodity data storing unit storing  
20 a desired sale or purchase price of a commodity, and  
information about a condition changing the desired  
sale or purchase price depending on a time; and  
a unit changing a desired price of the commodity  
when a time stored in said registered commodity data  
25 storing unit elapses.

11. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:

a registered commodity data storing unit storing information about a sale or purchase desire of a commodity along with a public condition; and

a unit making the sale or purchase desire of the commodity public if the public condition stored in said registered commodity data storing unit is satisfied.

10

12. A computer-readable storage medium on which is recorded a program for causing a computer to perform a process aiding in a purchase and a sale of a commodity, said process comprising:

15           storing a desired sale or purchase price of a commodity, and information about a change condition and price of the desired sale or purchase price; and  
              searching for a desired price or and information about a change condition and a change price of the desired price of a transaction partner that registers a sale of a designated commodity in response to a purchase request designating the commodity, and for a desired price and information about a change condition and a change price of the desired price of a transaction partner that registers a purchase of a

20

25

designated commodity in response to a sale request designating the commodity, in stored registered commodity information.

5           13. A computer-readable storage medium on which is recorded a program for causing a computer to perform a process aiding in a purchase and a sale of a commodity, said process comprising:

10           storing a desired sale or purchase price of a commodity, and information about a condition changing the desired sale or purchase price depending on a time; and

              changing a desired price of the commodity when a stored time elapses.

15           14. A computer-readable storage medium on which is recorded a program for causing a computer to perform a process aiding in a purchase and a sale of a commodity, said process comprising:

20           storing information about a sale or purchase desire of a commodity along with a public condition; and

25           making the sale or purchase desire of the commodity public if the stored public condition is satisfied.

15. An electronic commerce method aiding in a purchase and a sale of a commodity in an electronic commerce system, comprising:

5       storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and

10      changing a price if the information about the change condition or the change price in the stored information is satisfied.

16. An electronic commerce method aiding in a purchase and a sale of a commodity in an electronic commerce system, comprising:

15      storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and

20      searching for a desired price or and information about a change condition and a change price of the desired price of a transaction partner that registers a sale of a designated commodity in response to a purchase request designating the commodity, and for a desired price and information about a change condition and a change price of the desired price of

a transaction partner that registers a purchase of a designated commodity in response to a sale request designating the commodity, in the stored information.

5        17. An electronic commerce method aiding in a purchase and a sale of a commodity in an electronic commerce system, comprising:

10        storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and

15        obtaining a registered sale or purchase request corresponding to a sale or purchase request by searching for a desired price and a change condition of the desired price of a transaction partner that registers a sale of a designated commodity in response to the purchase request designating a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity,  
20        and for a desired price and a change condition of the desired price of a transaction partner that registers a purchase of a designated commodity in response to the sale request designating a desired price of a commodity and a condition which can be satisfied in  
25        a transaction of the commodity, in the stored

information.

18. An electronic commerce method aiding in a purchase and a sale of a commodity in an electronic commerce system, comprising:

5           storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase price; and

10          obtaining a registered sale or purchase request corresponding to a designated desired price by searching for a desired price and a change condition of the desired price of a transaction partner that registers a sale of a designated commodity in  
15          response to a purchase request designating a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity, and for a desired price and a change condition of the desired price of a transaction partner that registers a purchase of a designated commodity in response to a sale request designating a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity, in registered commodity information stored in said registered  
20          commodity data storing unit.  
25

19. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:

5 registered commodity data storing means for  
storing a desired sale or purchase price of a  
commodity, and information about a change condition  
and a change price of the desired sale or purchase  
price; and

means for changing a price if the information about the change condition or the change price, which is registered to said registered commodity data storing means, is satisfied.

20. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:

15 registered commodity data storing means for  
storing a desired sale or purchase price of a  
commodity, and information about a change condition  
and a change price of the desired sale or purchase  
price; and

20 searching means for searching for a desired price or and information about a change condition and a change price of the desired price of a transaction partner that registers a sale of a designated commodity in response to a purchase  
25 request designating the commodity, and for a desired

price and information about a change condition and a  
change price of the desired price of a transaction  
partner that registers a purchase of a designated  
commodity in response to a sale request designating  
5 the commodity, in registered commodity information  
stored in said registered commodity data storing  
means.

21. An electronic commerce system aiding in a  
10 purchase and a sale of a commodity, comprising:

registered commodity data storing means for  
storing a desired sale or purchase price of a  
commodity, and information about a change condition  
and a change price of the desired sale or purchase  
15 price; and

searching means for obtaining a registered sale  
or purchase request corresponding to a sale or  
purchase request by searching for a desired price  
and a change condition of the desired price of a  
transaction partner that registers a sale of a  
20 designated commodity in response to the purchase  
request designating a desired price of a commodity  
and a condition which can be satisfied in a  
transaction of the commodity, and for a desired price  
and a change condition of the desired price of a  
25

transaction partner that registers a purchase of a designated commodity in response to the sale request designating a desired price of a commodity and a condition which can be satisfied in a transaction of  
5 the commodity, in registered commodity information stored in said registered commodity data storing means.

22. An electronic commerce system aiding in a  
10 purchase and a sale of a commodity, comprising:

registered commodity data storing means for storing a desired sale or purchase price of a commodity, and information about a change condition and a change price of the desired sale or purchase  
15 price; and

searching means for obtaining a registered sale or purchase request corresponding to a designated desired price by searching for a desired price and a change condition of the desired price of a  
20 transaction partner that registers a sale of a designated commodity in response to a purchase request designating a desired price of a commodity and a condition which can be satisfied in a transaction of the commodity, and for a desired price  
25 and a change condition of the desired price of a

transaction partner that registers a purchase of a designated commodity in response to a sale request designating a desired price of a commodity and a condition which can be satisfied in a transaction of  
5 the commodity, in registered commodity information stored in said registered commodity data storing means.

23. An electronic commerce system aiding in a  
10 purchase and a sale of a commodity, comprising:

registered commodity data storing means for storing a desired sale or purchase price of a commodity, and information about a condition changing the desired sale or purchase price depending on a  
15 time; and

means for changing a desired price of the commodity when a time stored in said registered commodity data storing means elapses.

20 24. An electronic commerce system aiding in a purchase and a sale of a commodity, comprising:

registered commodity data storing means for storing information about a sale or purchase desire of a commodity along with a public condition; and

25 means for making the sale or purchase desire of

the commodity public if the public condition stored in said registered commodity data storing means is satisfied.

**Abstract of the Disclosure**

An electronic commerce system, which aids in a purchase and a sale of a commodity, allows the  
5 purchase or the sale of the commodity at a more desirable price or under a more desirable condition. This system comprises a registered commodity data storing unit storing a desired sale or purchase price of a commodity, and the information about a change  
10 condition and a change price of the desired sale or purchase price, and a unit changing the price if the information about the change condition or the change price, which is stored in the registered commodity data storing unit, is satisfied.

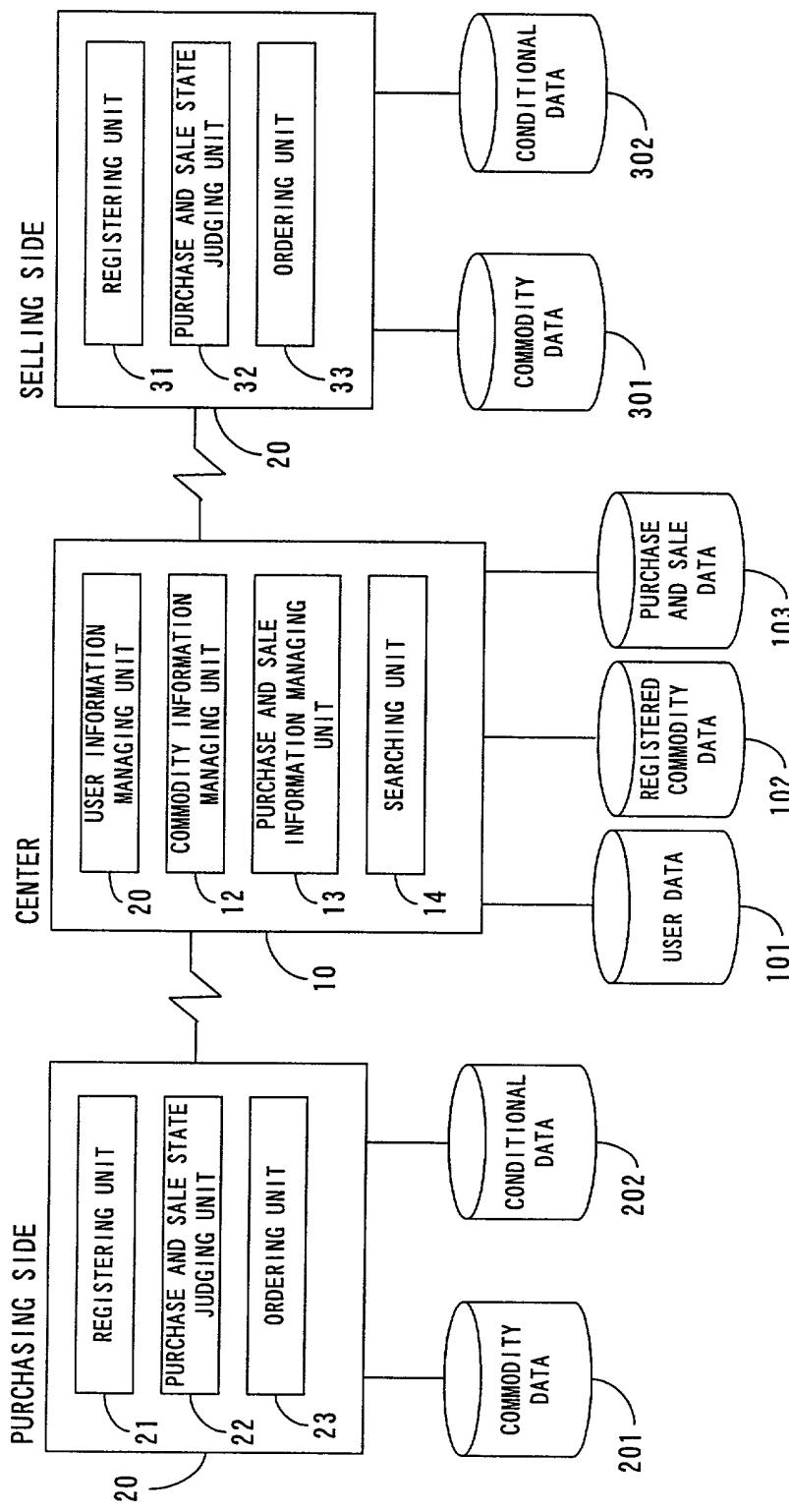


FIG. 1

F | G. 2

COMPANY CODE	COMMODITY CODE	COMMODITY NAME	PURCHASE /SALE	DESIRED PRICE	LOWER PRICE LIMIT	UPPER PRICE LIMIT	DESIRED AMOUNT	LOWER AMOUNT LIMIT	UPPER AMOUNT LIMIT	PUBLIC FLAG	DATE AND TIME	TRANSACTION CONDITION
A123	SN97	SASANISHIKI PRODUCED IN 1997	PURCHASE	¥1000/Kg	900	1000	200Kg	100	2000	ON	1998.07.20. 12:00	001
A123	KH96	KOSHIHIKARI PRODUCED IN 1996	PURCHASE	¥980/Kg	800	980	500Kg	200	500	ON	1998.07.15. 09:00	002
A123	HB98	HITOMEBORE PRODUCED IN 1998	PURCHASE	¥800/Kg	800	800	500Kg	200	500	ON	1998.07.23. 13:30	-

TRANSACTION CONDITION	AMOUNT	COMBINATION	DELIVERY	PAYMENT	TIME	OTHER COMPANIES
001	$\geq 1600$ PRICE $\geq 800$	KH96 PRICE $\geq 795$	$\geq 3$			
002				CASH PRICE $\geq 780$	+7	PRICE=PRICE-5

F I G. 3

COMPANY CODE	COMMODITY NAME	PURCHASE /SALE	DESIRED PRICE	LOWER PRICE LIMIT	UPPER PRICE LIMIT	DESIRED AMOUNT	LOWER AMOUNT LIMIT	UPPER AMOUNT LIMIT	PUBLIC FLAG	DATE AND TIME	TRANSACTION CONDITION
B789	SN97	SASAN SHIKI PRODUCED IN 1997	¥800/Kg PURCHASE	750	850	500Kg	500	1500	ON	1998.07.20. 12:00	001
B789	KH96	KOSHIIKARI PRODUCED IN 1996	¥800/Kg PURCHASE	750	800	200Kg	200	250	OFF	1998.07.19. 09:00	002
B789	AK98	AKITAKOMACH   PRODUCED IN 1998	¥900/Kg PURCHASE	800	1000	650Kg	100	2000	OFF	1998.07.30. 13:30	003

F | G. 4

TRANSACTION CONDITION	AMOUNT	COMBINATION	DELIVERY	PAYMENT	TIME	OTHER COMPANIES
001	$\geq 1000$	PRICE $\geq 600$	$\leq 7$	PRICE $\leq 890$		
002					NUMBER OF SELLING PUBLIC COMPANIES $\geq 2$	PUBLIC FLAG=ON
003			$\leq 3$	PRICE $\leq 1100$	$\geq 19980901$	PUBLIC FLAG = ON

100% 100% 100% 100% 100% 100% 100% 100% 100%  
100% 100% 100% 100% 100% 100% 100% 100% 100%

COMPANY CODE	COMPANY NAME	CREDIT INFORMATION	CURRENT STATE
A123	AA & CO.	UP TO ¥10 MILLION PER MONTH	¥1.5 MILLION
B789	BB CO.	UP TO 20 TRANSACTIONS PER DAY	6 TRANSACTIONS
C596	CC WHOLESALER	UP TO ¥5 MILLION PER DAY	¥4 MILLION

F I G. 6

COMPANY CODE	COMMODITY CODE	COMMODITY NAME	PURCHASE /SALE	DESIRED PRICE	LOWER PRICE LIMIT	UPPER PRICE LIMIT	DESIRED AMOUNT	LOWER AMOUNT LIMIT	UPPER AMOUNT LIMIT
A123	SN97	SASA(NISHIKI) PRODUCED IN 1997	SALE	¥1000	900	1000	200KG	100	2000
A123	KH96	KOSHI(KIKARI) PRODUCED IN 1996	SALE	¥980	800	980	500KG	200	500
A123	HB98	HITOMEBORE PRODUCED IN 1998	SALE	¥800	800	800	500KG	200	500
B789	SN97	SASA(NISHIKI) PRODUCED IN 1997	PURCHASE	¥800	750	850	500KG	500	1500
C596	HB97	HITOMEBORE PRODUCED IN 1997	SALE	¥750	700	800	250KG	100	300
C596	AK98	AKITA(KOMACHI) PRODUCED IN 1998	PURCHASE	¥850	600	950	1000KG	100	1000

AMOUNT	COMBINATION	DELIVERY	PAYMENT	TIME	OTHER COMPANIES
$\geq 1600$	PRICE $\geq 800$	KH9	PRICE $\geq 795$	$\geq 3$	
					CASH PRICE $\geq 780 + 7$
					PRICE = PRICE - 5
$\geq 1000$	PRICE $\geq 600$			$\leq 7$	PRICE $\leq 980$
					$\geq 3$ PRICE $\leq 1000$

YEAR/MONTH/DATE	SELLING COMPANY CODE	PURCHASING COMPANY CODE	COMMODITY CODE	UNIT PRICE	AMOUNT	• • •
1998.08.01	A123	B789	SN96	\$970	500Kg	
1998.08.01	A123	X555	HB97	\$980	500Kg	

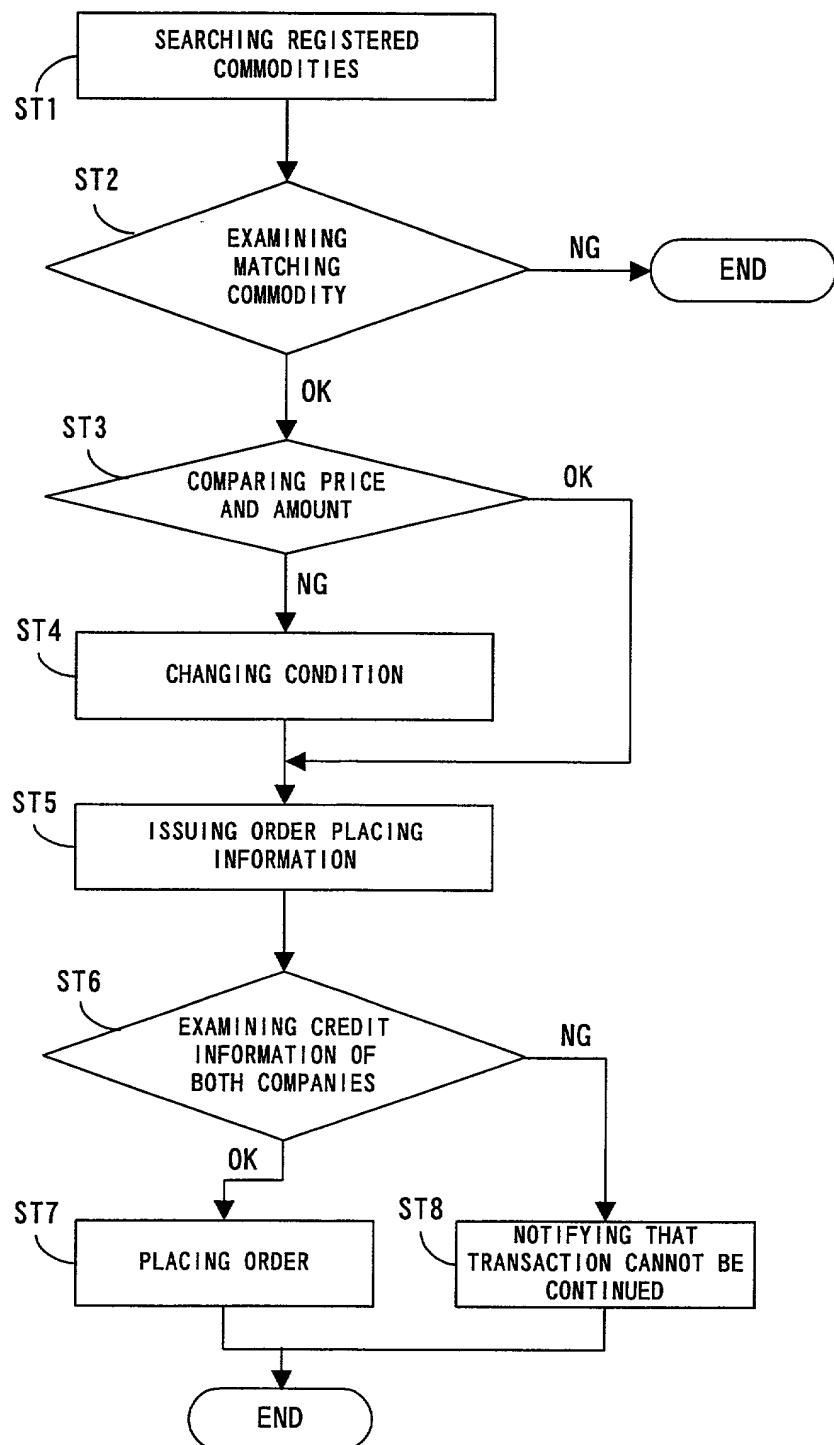
8  
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F.

**COMMODITY: RICE -LIST OF CURRENTLY REGISTERED SALE COMMODITIES-**

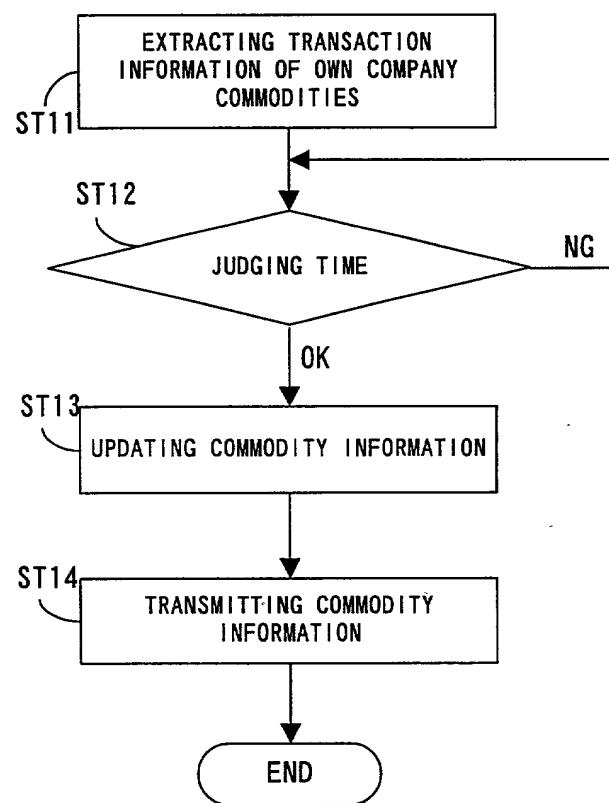
COMPANY CODE	COMMODITY CODE	COMMODITY NAME	DESIRED PRICE	DESIRED AMOUNT	CONDITION
A123	SN97	SASANISHIKI PRODUCED IN 1997	¥1000 (900 - 1000)	200Kg (100 - 200)	*
A123	KH96	KOSHIHIKARI PRODUCED IN 1996	¥980 (800 - 980)	500Kg (200 - 500)	*
A123	HB98	HITOMEBORE PRODUCED IN 1998	¥800	500Kg (200 - 500)	
A123	HB97	HITOMEBORE PRODUCED IN 1997	¥750 (700 - 800)	300Kg (100 - 300)	

**TRANSACTION CONDITION INFORMATION**  
 TARGET: SN97 SASANISHIKI PRODUCED IN 1997  
 NORMAL: ¥900 - 1000/100 - 2000Kg

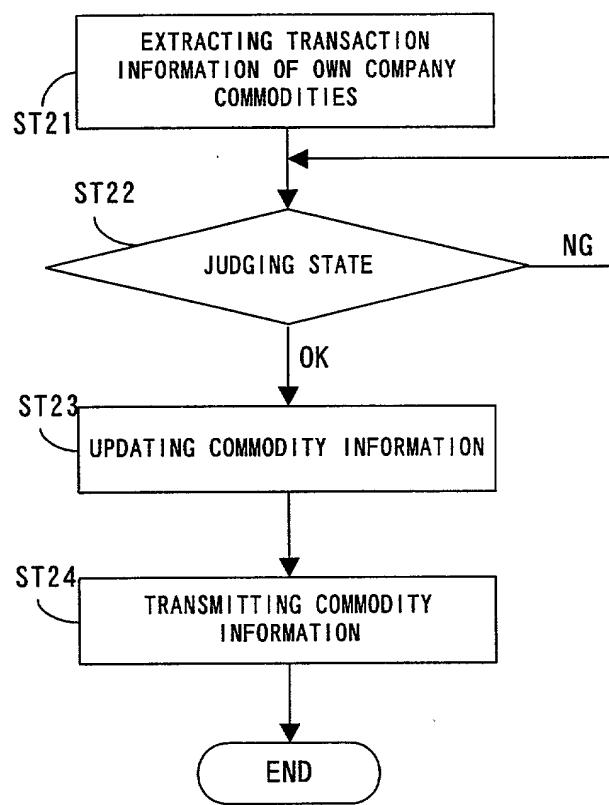
	CONDITION	PRICE AFTER CHANGE
AMOUNT	EQUAL TO OR MORE THAN 1600Kg	800 - 1000
COMBINATION	KH96	795 - 1000
DELIVERY	3 OR MORE DAYS	
PAYMENT		



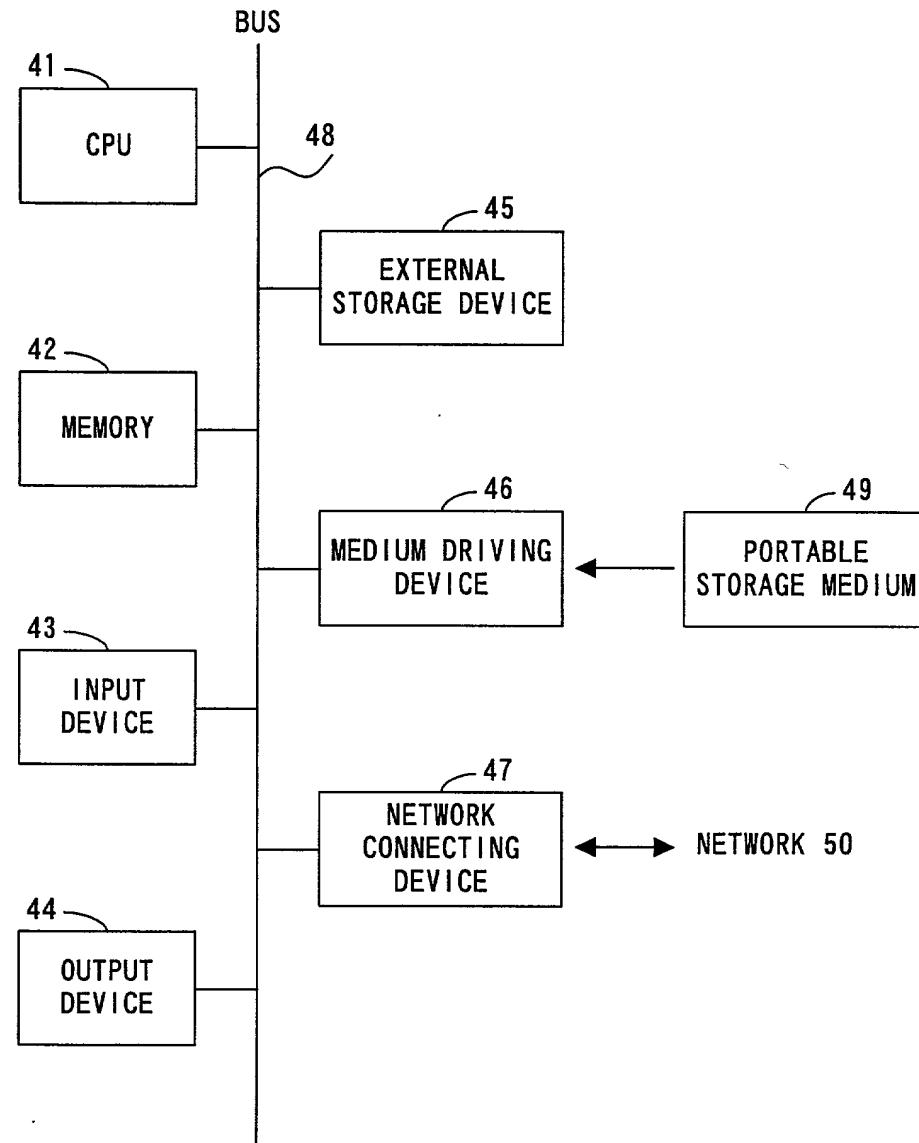
F I G. 10



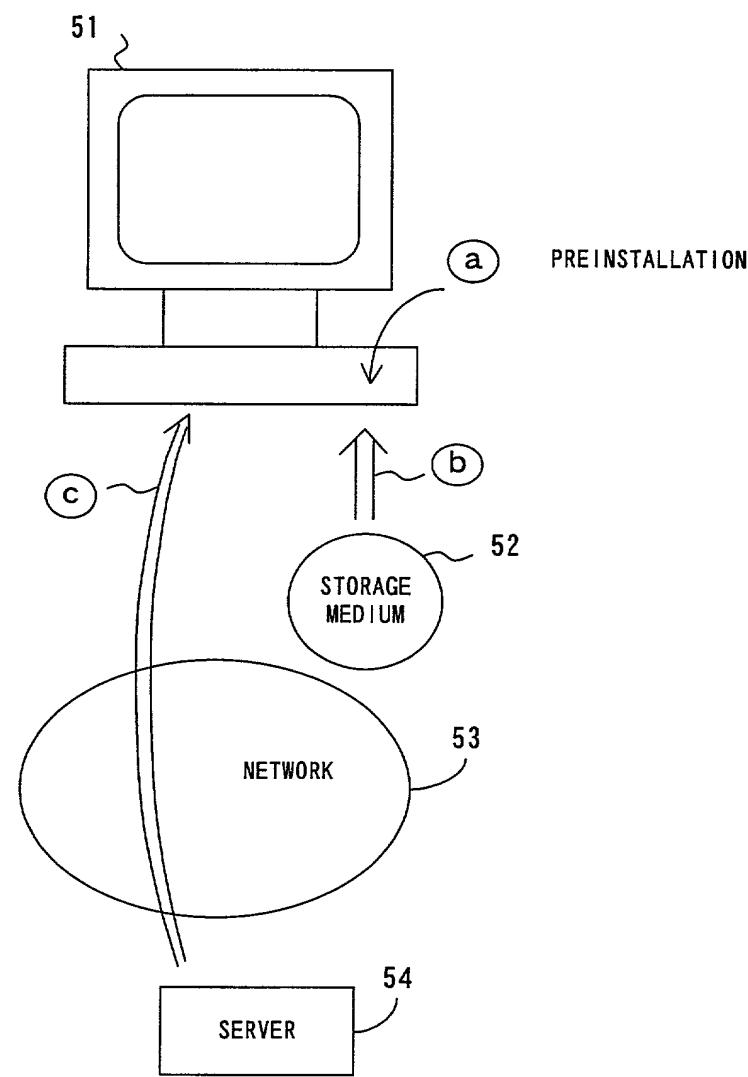
F I G. 11



F I G. 1 2



F I G. 1 3



F I G. 1 4

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**Declaration and Power of Attorney For Patent Application****特許出願宣言書及び委任状****Japanese Language Declaration****日本語宣言書**

下記の氏名の発明者として、私は以下通り宣言します。

As a below named Inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。.

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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**ELECTRONIC COMMERCE SYSTEM AND  
A COMPUTER-READABLE STORAGE  
MEDIUM THEREOF**


---

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

- 一月一日に提出され、米国出願番号または特許協定条約国出願番号を\_\_\_\_\_とし。  
(該当する場合) \_\_\_\_\_に訂正されました。

was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_  
and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1章第56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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### Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)又は365条(b)に基づき下記の、米国以外の国の少なくとも一ヵ国を指定している特許協力条約365(a)条に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

**Prior Foreign Application(s)**

外国での先行出願

11-093546

(Number)  
(番号)

Japan

(Country)  
(国名)(Number)  
(番号)(Country)  
(国名)

私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)  
(出願番号)(Filing Date)  
(出願日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願提出日以降で本出願書の日本国内または特許協力条約国提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)  
(出願番号)(Filing Date)  
(出願日)(Application No.)  
(出願番号)(Filing Date)  
(出願日)

私は、私自身の知識に基いて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基づく表明が全て真実であると信じていること。さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣言を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 118 (a)-(d) or 366(b) of any foreign application(s) for patent or inventor's certificate, or 366(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

**Priority Not Claimed**

優先権主張なし

31st / March / 1999

(Day/Month/Year Filed)

(出願年月日)

(Day/Month/Year Filed)

(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)(Filing Date)  
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 366(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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### Japanese Language Declaration (日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続を米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。（弁護士、または代理人の氏名及び登録番号を明記のこと）

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